

**ACTION PLAN ON ANTI-CORRUPTION MEASURES  
COAL INDIA LIMITED, MINISTRY OF COAL**

**Q.E. :**

**PART -1**

S.No	Subject	Action Required					Remarks
			Ist. Qtr.	2 <sup>nd</sup> Qtr.	3 <sup>rd</sup> Qtr	4 <sup>th</sup> Qtr.	
1	2	3	4	5	6	7	8
<b>A. PREVENTIVE</b>							
(i) Studies for streamlining the existing rules/ procedures etc.	Identification of at least two areas of systems improvement such as streamlining rules/ procedures or staffing pattern	<b>Recruitment &amp; Procurement Transfer</b>			<b>Installation of weighbridge/CCTV/ GPS/RFID</b>	<b>OB contracts</b>	
(ii) Strengthening of vigilance Machinery	Filling up all the vacant posts of CVOs	<b>No vacant post</b>	<b>No vacant post</b>	<b>No vacant post</b>	<b>No vacant post</b>	<b>No vacant post</b>	
(iii) Annual Review of Property returns filed by Group 'A' & Group 'B' Service officers	Review to be completed	<b>250</b>	<b>250</b>	<b>250</b>	<b>250</b>	<b>250</b>	

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1	2	3	4	5	6	7	8
<b>B. SURVEILLANCE AND DETECTION</b>							
i) Agreed List	Preparation of Agreed List.	<b>To be prepared during the quarter.</b>	--	--	--	--	
(ii) No. of cases pending investigation with the CVOs and pending action after investigation	All cases more than one year old to be disposed of.	CASES 1 <sup>st</sup> .quarter	CASES 2 <sup>nd</sup> . Quarter	CASES 3 <sup>rd</sup> . Quarter	CASES 4 <sup>th</sup> . Quarter		
	Pending Investigation	<b>15</b>	<b>15</b>	<b>No pendency of cases more than 1 year.</b>			
	Opening balance						
	Add: Fresh receipt						
	Less :Disposal						
	Balance at the end of the qtr.						
	Post Investigation action	<b>100%</b>	<b>100%</b>	<b>100%</b>	<b>100%</b>		
	Opening balance						
Add: Fresh receipt							
Less: Disposal							

	Balance at the end of the qtr.					
<b>C. <u>DETERENT PUNITIVE ACTION</u></b>						
(i) Cases pending decision for more than 6 months after CVCs first stage advice.	All such cases pending for more than 6 months to be disposed of in each quarter. .	100%	100%	100%	100%.	
ii) Appointment of CDIs nominated by CVC	All such cases pending for more than 6 months to be disposed of in each quarter. .	100%	100%	100%	100%.	

(PART-I contd.)

S.No.	Subject	Action Required	Ist. Qtr.	2 <sup>nd</sup> Qtr.	3 <sup>rd</sup> Qtr	4 <sup>th</sup> Qtr.	Remarks
1	2	3	4	5	6	7	8
iii)	<u>Oral Inquiries against G.Os.</u>	25% to be disposed off.	<u>Cases</u>	<u>Cases</u>	<u>Cases</u>	<u>Cases</u>	
	Opening Balance		40 (GOs +NGOs)	40 (GOs +NGOs)	40 (GOs +NGOs)	40 (GOs +NGOs)	
	Add Fresh Receipts						
	Less Disposal						
	Balance at the end of the Quarter						
(iv)	<u>Oral Inquiries against NGOs.</u>	25% to be disposed off.	Included in iii) above				
	Opening Balance						
	Add Fresh Receipts						
	Less Disposal						
	Balance at the end of the Quarter						
(v)	Cases pending for more than 6 months after CVCs second stage advice.	All cases to be disposed.					
(vi)	CBI cases pending for want of sanction for prosecution	All cases to be disposed.					
(vii)	Pre-mature retirement of Government servants under FR 56(j)		Not applicable	Not applicable	Not applicable	Not applicable	

S.No.	Subject	Action Required	Remarks
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			Ist. Qtr.	2 <sup>nd</sup> Qtr.	3 <sup>rd</sup> Qtr	4 <sup>th</sup> Qtr.	
1	2	3	4	5	6	7	8
<b>D. MONITORING OF VIGILANCE WORK</b>							
i) Monthly review of pending investigations, inquiries and other vigilance matter to be done by the CVOs.			3	3	3	3	
ii) Quarterly review by Head of Deptt./Secretary concerned			1	1	1	1	
iii) Quarterly report to be sent to Deptt. Of Personnel and Training.			Report to MOC 1	1	1	1	

**PART-II**

**PREVENTIVE**

1.	Simplification of Rules & Procedures	Whether study completed	Details of implementation	Remarks
	<ul style="list-style-type: none"> <li>1. Amendment of CDA Rules</li> <li>2. Changes in Purchase/Contract Management Manual</li> </ul>			

	ii) No. of inspections conducted during this quarter.	Number	Cases detected	Action taken	Remarks						
	a) Regular and Surprise inspections	40 in each quarter.									
	iii) Annual review of property returns filed by Group 'A' and Group 'B' service officers	250(approx.) in each quarter.									
	iv) Whether a plan for rotation of staff in sensitive areas has been drawn up.	The Personnel Departments of CIL Hqrs. and CIL Subsidiaries have on going policy of rotational transfer for executives as well as for non-executives working in sensitive posts									
	a) No. of staff working on same post/in the same division for more than 3 years (at the beginning of the quarter).										
	b) No. of staff transferred during the Quarter out of Col.(a) in pursuance of plan drawn up for rotation of staff.	Transfer of all executives as well as non-executives working in sensitive posts more than 5 years.									
	c) Reasons for non-implementation of plan for rotation of staff.										
2.	Strengthening of Vigilance Machinery										
		CIL Hq.	ECL	BCCL	CCL	WCL	SECL	NCL	CMPDIL	MCL	
	i) No. of vacant posts of CVOs	-	-	-	-	-	-	-	-	-	
	ii) No. filled up	-	-	-	-	-	-	-	-	-	

	iii) Details of vacant posts as on -----	
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PART III

SURVEILLANCE AND DETECTION

(i)	(a) No. of names included in the agreed list to be included in the return for the first quarter (In case of the Agreed list is not finalised in the first quarter, the number should be indicated in the quarter in which it is finalised.	CIL(HQ)	ECL	BCCL	CCL	WCL	SECL	NCL	CMPDI	MCL		
		Over 1 year		Between 6 to 12 months		Between 3 to 6 months		Between 1 to 3 months		Total cases		
(ii)	Cases pending investigation with CVOs	31		25		41		83		180		
(a)	No. pending at the beginning of the quarter											
(b)	No. in which investigation has been completed											
(c)	No. of cases added during the quarter											
(d)	No. carried forward											
(iii)	Cases pending for communication of comments of department of CBI's investigation report of CVC	-		-		-		-		-		
(a)	No. pending at the beginning of the quarter											
(b)	No. in which comments have been sent in the Qtr.											
(c)	No. of cases added during the quarter											
(d)	No. carried forward											

(PART- III contd.)

	Cases	Govt. Servants		Employees of Public Sector Undertaking	
		Gazetted	Non-Gazetted	Executives	Wage Board Employees
		1	2	3	4
(iv)	<u>Cases under investigation</u>				
	(a) Departmentally				

		Less than 3 months	100	Not applicable	Not applicable		
		3 - 6 months	66	Not applicable	Not applicable		
		More than 6 months	95	Not applicable	Not applicable		
		Total	261				
	(b)	By the CBI	including (a) above.				
		Less than 3 months		Not applicable	Not applicable		
		3--6 months		Not applicable	Not applicable		
		More than 6 months		Not applicable	Not applicable		
		Total		Not applicable	Not applicable		
		TOTAL (DEPT. & CBI)					
		Action on investigation reports					
		Less than 3 months	32	Not applicable	Not applicable		
		More than 3 months	-	Not applicable	Not applicable		

**PART IV**

DETERENT PUNITIVE ACTION		OVER ONE YEAR	BETWEEN 6 TO 12 MONTHS	BETWEEN 3 TO 6 MONTHS	BETWEEN 1 TO 3 MONTHS	Total
(i)	<b>Cases pending decision for more than 6 months after CVC's first stage advice</b>	04	12	04	12	
	(a) No. pending in the beginning of the quarter					
	(b) No. decided during the quarter					
	(c) No. of cases added during the quarter					
	(d) No. carried forward					
(ii)	<b>Cases in which appointment of Commissioner, Departmental Inquiries nominated by CVC are pending for more than 3 months</b>	NIL	NIL	NIL	NIL	
	(a) No. of pending at the beginning of the quarter					
	(b) No. in which CDI has been appointed					
	(c) No. of cases decided during the quarter					
	(d) No. of cases carried forward					
(iii)	<b>Oral Inquiries against Gazetted Officers</b>	30 (GOs +NGOs)	30 (GOs +NGOs)	15 (GOs +NGOs)	15 (GOs +NGOs)	
	(a) No. of pending at the beginning of the quarter					
	(b) No. disposed off					
	(c) No. of cases added during the quarter					
	(d) No. of cases carried forward					
(iv)	<b>Oral Inquiries against Non-Gazetted Officers</b>	Included in iii) above				
	(a) No. of pending at the beginning of the quarter					
	(b) No. disposed off					
	(c) No. of cases added during the quarter					
	(d) No. of cases carried forward					
(v)	<b>Cases pending decision for more than 6 months after CVC's second stage advice</b>	01	02	00	02	
	(a) No. pending in the beginning of the quarter					

	(b)	No. decided during the quarter					
	(c)	No. of cases added during the quarter					
	(d)	No. carried forward					
DETERENT PUNITIVE ACTION							
(vi)		<b>Cases pending for want of sanction for prosecution in CBI cases</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	
	(a)	No. pending in the beginning of the quarter					
	(b)	No. decided during the quarter					
	(c)	No. of cases added during the quarter					
	(d)	No. carried forward					
vii		<b>Review under FR 56(1)</b>	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable
	(a)	No. of officers due for review					
	(b)	No. reviewed					
	(c)	No. yet to be reviewed with reasons for delay					
viii	Please indicate whether the return has been reviewed at the Secretary's level						

**Part V**

			Government servants		Employees of Public Sector Undertakings	
			Gazetted	Non-Gazetted	Executives including Board Level	Wage Board Employees
			1	2	3	4
1	SUSPENSION					
	(a)	Under suspension:	Cases			
	(b)	Under suspension for less than 6 months	03	Not applicable	Not applicable	
	(c)	No. under suspension over 6 months	07	Not applicable	Not applicable	
	(d)	Whether over 3 months cases have been reviewed				
II	PENDING MINOR PENALTY PROCEEDINGS		Cases			
	(a)	Less than 6 months	13	Not applicable	Not applicable	
	(b)	Between 6 months 1 years	01	Not applicable	Not applicable	
	(c)	More than 1 year	03	Not applicable	Not applicable	
III	PENDING MAJOR PENALTY PROCEEDINGS		Cases			
	(a)	Less than 6 months	38	Not applicable	Not applicable	
	(b)	Between 6 months and 1 year	43	Not applicable	Not applicable	
	(c)	More than 1 year	67	Not applicable	Not applicable	
	(d)	No. pending with I.O. for more than 6 months		Not applicable	Not applicable	