FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
As on financial year ended on 31-03-2019
Pursuant to Section 92 (3) of the Companies Act, 2013 and Rule 12(1) of the Company (Management & Administration) Rules, 2014

I. REGISTRATION & OTHER DETAILS:

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>CIN</td>
<td>L23109WB1973GOI028844</td>
</tr>
<tr>
<td>2.</td>
<td>Registration Date</td>
<td>14th June’1973</td>
</tr>
<tr>
<td>3.</td>
<td>Name of the Company</td>
<td><strong>Coal India Limited</strong> (Coal Mines Authority Limited was incorporated on 14th Jun’1973 and name was subsequently changed on 1st Nov’1975)</td>
</tr>
<tr>
<td>4.</td>
<td>Category/Sub-category of the Company</td>
<td>Public Company Limited by Shares/Government Company</td>
</tr>
<tr>
<td>5.</td>
<td>Address of the Registered office &amp; contact details</td>
<td>Premises no-04-MAR, Plot no-AF-III, Action Area-1A, Newtown, Rajarhat, Kolkata-700156 Contact No.: 033-2324-6526 Fax No.: 033 – 2324-6510 Email Id.: <a href="mailto:mviswanathan2.cil@coalindia.in">mviswanathan2.cil@coalindia.in</a></td>
</tr>
<tr>
<td>6.</td>
<td>Whether listed company</td>
<td>Yes (Listed in BSE and NSE)</td>
</tr>
</tbody>
</table>
| 7. | Name, Address & contact details of the Registrar & Transfer Agent, if any. | **M/s Alankit Assignments Limited**
Alankit Height,1E/13, Jhandewalan Extension, New Delhi-110 055,
E-mail id: alankit_rta@alankit.com,
Ph. no.: 011-4254-1234/2354-1234, Toll Free Number : 1860-121-2155
Fax: 011-4154-3474
Website:www.alankit.com |
II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:
[All the business activities contributing 10% or more of the total turnover of the company shall be stated]

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Name and Description of main products / services</th>
<th>NIC Code of the Product/service</th>
<th>% to total turnover of the company</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Coal Mining</td>
<td>051-05101 and 051-05102</td>
<td>100.0</td>
</tr>
</tbody>
</table>

III. PARTICULARS OF HOLDING, SUBSIDIARY, JOINT VENTURE AND ASSOCIATE COMPANIES:

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Name and Address of the Company</th>
<th>CIN/GLN</th>
<th>Holding/ Subsidiary / Associate</th>
<th>% of Share Held</th>
<th>Applicable Section</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Eastern Coalfields Limited, P.O. - Dishergarh, Sanctoria, Burdwan -713333, West Bengal</td>
<td>U10101WB1975GOI0030295</td>
<td>Subsidiary</td>
<td>100.0</td>
<td>Section 2(87) of Companies Act' 2013</td>
</tr>
<tr>
<td>2.</td>
<td>Bharat Coking Coal Limited, Koyla Bhawan, Koyla Nagar, Dhanbad - 826005, Jharkhand</td>
<td>U10101JH1972GOI000918</td>
<td>Subsidiary</td>
<td>100.0</td>
<td>Section 2(87) of Companies Act' 2013</td>
</tr>
<tr>
<td>3.</td>
<td>Central Coalfields Limited, Darbhanga House, Kutchery Road, Ranchi-834029, Jharkhand</td>
<td>U10200JH1956GOI000581</td>
<td>Subsidiary</td>
<td>100.0</td>
<td>Section 2(87) of Companies Act' 2013</td>
</tr>
<tr>
<td>4.</td>
<td>Mahanadi Coalfields Limited, Jagruti Vihar, Burla, Sambalpur- 768020, Orissa</td>
<td>U10102OR1992GOI003038</td>
<td>Subsidiary</td>
<td>100.0</td>
<td>Section 2(87) of Companies Act' 2013</td>
</tr>
<tr>
<td>5.</td>
<td>Western Coalfields Limited, Coal Estate, Civil Lines, Nagpur - 440001, Maharashtra</td>
<td>U10100MH1975GOI018626</td>
<td>Subsidiary</td>
<td>100.0</td>
<td>Section 2(87) of Companies Act' 2013</td>
</tr>
<tr>
<td>6.</td>
<td>Northern Coalfields Limited, P.O. - Singrauli Colliery, Singrauli, Dist. - Sidhi - 486889, Madhya Pradesh</td>
<td>U10102MP1985GOI003160</td>
<td>Subsidiary</td>
<td>100.0</td>
<td>Section 2(87) of Companies Act' 2013</td>
</tr>
<tr>
<td>No.</td>
<td>Name of the Company</td>
<td>Address</td>
<td>Registration No.</td>
<td>Type of Company</td>
<td>Ownership</td>
</tr>
<tr>
<td>-----</td>
<td>---------------------</td>
<td>---------</td>
<td>-----------------</td>
<td>----------------</td>
<td>-----------</td>
</tr>
<tr>
<td>7.</td>
<td>South Eastern Coalfields Limited, Seepat Road, Bilaspur - 495006, Chhattisgarh</td>
<td></td>
<td>U10102CT1985GOI003161</td>
<td>Subsidiary</td>
<td>100.00</td>
</tr>
<tr>
<td>8.</td>
<td>Central Mine Planning and Design Institute Limited, Gondwana Place, Kanke Road, Ranchi - 834008, Jharkhand</td>
<td></td>
<td>U14292JH1975GOI001223</td>
<td>Subsidiary</td>
<td>100.00</td>
</tr>
<tr>
<td>9.</td>
<td>MNH Shakti limited, Jagruti Vihar, Burla, Sambalpur- 768020, Orissa</td>
<td></td>
<td>U10100OR2008GOI010171</td>
<td>Step-down Subsidiary</td>
<td>70.00</td>
</tr>
<tr>
<td>10.</td>
<td>MJSJ Coal Limited, Jagruti Vihar, Burla, Sambalpur- 768020, Orissa</td>
<td></td>
<td>U10200OR2008GOI010250</td>
<td>Step-down Subsidiary</td>
<td>60%</td>
</tr>
<tr>
<td>11.</td>
<td>Mahanadi Basin Power Limited, Jagruti Vihar, Burla, Sambalpur- 768020, Orissa</td>
<td></td>
<td>U40102OR2011GOI014589</td>
<td>Step-down Subsidiary</td>
<td>100%</td>
</tr>
<tr>
<td>12.</td>
<td>Mahanadi Coal Railway Limited, Jagruti Vihar, Burla, Sambalpur- 768020, Orissa</td>
<td></td>
<td>U60100OR2015GOI019349</td>
<td>Step-down Subsidiary</td>
<td>64%</td>
</tr>
<tr>
<td>13.</td>
<td>Jharkhand Central Railway Limited, Darbhanga House, Kutchery Road, Ranchi-834029, Jharkhand</td>
<td></td>
<td>U45201JH2015GOI003139</td>
<td>Step-down Subsidiary</td>
<td>64%</td>
</tr>
<tr>
<td>14.</td>
<td>Chhattisgarh East Railway Limited, CSIDC Commercial Complex, Mahadev Ghat Road, Raipur Chowk, Raipur-492013 Chhattisgarh</td>
<td></td>
<td>U45203CT2013GOI000768</td>
<td>Step-down Subsidiary</td>
<td>68.42%</td>
</tr>
<tr>
<td>No.</td>
<td>Company Name</td>
<td>Registration No.</td>
<td>Type of Subsidiary</td>
<td>Percentage</td>
<td>Section of Companies Act 2013</td>
</tr>
<tr>
<td>-----</td>
<td>--------------</td>
<td>------------------</td>
<td>-------------------</td>
<td>------------</td>
<td>------------------------------</td>
</tr>
<tr>
<td>15</td>
<td>Chhattisgarh East-West Railway Limited, CSIDC Commercial Complex, Mahadev Ghat Road, Raipura Chowk, Raipur-492013 Chhattisgarh</td>
<td>U45203CT2013GOI000729</td>
<td>Step-down Subsidiary</td>
<td>64.06%</td>
<td>Section 2(87) of Companies Act’ 2013</td>
</tr>
<tr>
<td>16</td>
<td>Coal India Africana Limitada, Dentro De Riverside Uweis Extencao AV 25 Junho Bairro Francisco Manyanga Unidade Chingale Tete, Mozambique</td>
<td>-</td>
<td>Foreign Subsidiary</td>
<td>100.00</td>
<td>As per Mozambique Commercial Code</td>
</tr>
<tr>
<td>17</td>
<td>CIL NTPC Urja Private Limited, NTPC Bhawan, Scope Complex, 7, Institutional Area, Lodhi Road, New Delhi - 110003</td>
<td>U14105DL2010PTC202053</td>
<td>Joint Venture</td>
<td>50.00</td>
<td>Section 2(6) of Companies Act’ 2013</td>
</tr>
<tr>
<td>18</td>
<td>International Coal Ventures Private Limited, 20th Floor, Scope Minar, (Core-2), North Tower, Laxmi Nagar, District Centre, Delhi - 110092</td>
<td>U10100DL2009PTC190448</td>
<td>Associate</td>
<td>0.19</td>
<td>Section 2(6) of Companies Act’ 2013</td>
</tr>
<tr>
<td>19</td>
<td>Talcher Fertilizers Limited Plot 2/H, Kalpana Area BJB Nagar, Khordha Bhubaneswar PIN-751014</td>
<td>U24120OR2015PLC019575</td>
<td>Associate</td>
<td>33.32</td>
<td>Section 2(6) of Companies Act’ 2013</td>
</tr>
<tr>
<td>20</td>
<td>Hindustan Urvarak and Rasayan Limited, Coal Bhawan, Coal India Limited, 7th Floor, Plot No. AF-III, Action Area-1A, Newtown, Kolkata 700 156</td>
<td>U24100WB2016PLC216175</td>
<td>Associate</td>
<td>33.33</td>
<td>Section 2(6) of Companies Act’ 2013</td>
</tr>
</tbody>
</table>
IV. **SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity):**

1) **Category-wise Share Holding:**

<table>
<thead>
<tr>
<th>Category of Shareholders</th>
<th>No. of Shares held at the beginning of the year [as on 01-04-2018]</th>
<th>No. of Shares held at the end of the year [as on 31-03-2019]</th>
<th>% Change during the year</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Demat</td>
<td>Physical</td>
<td>Total</td>
</tr>
<tr>
<td>A. Promoter’s</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(1) Indian:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a) Individual/ HUF</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>b) Central Govt</td>
<td>4875671716</td>
<td>0</td>
<td>4875671716</td>
</tr>
<tr>
<td>c) State Govt(s)</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>d) Bodies Corp.</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>e) Banks / FI</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>f) Any other</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Sub-Total (A)(1):</td>
<td>4875671716</td>
<td>0</td>
<td>4875671716</td>
</tr>
<tr>
<td>(2) Foreign:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a) NRIs- Individuals</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>b) Other- Individuals</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>c) Bodies Corp.</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>d) Banks / FI.</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>e) Any other</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>
Sub-Total (A)(2): | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0.00 | 0.00

Total shareholding of Promoter (A)=(A)(1)+(A)(2) | 4875671716 | 0 | 4875671716 | 78.55 | 4373016781 | 0 | 4373016781 | 70.96 | 7.59

B. Public Shareholding

(1) Institutions

<p>| | | | | | | | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>a) Mutual Funds</td>
<td>97539015</td>
<td>0</td>
<td>97539015</td>
<td>1.57</td>
<td>372859704</td>
<td>0</td>
<td>372859704</td>
<td>6.05</td>
<td>-4.48</td>
</tr>
<tr>
<td>b) Banks / FI</td>
<td>134800284</td>
<td>0</td>
<td>134800284</td>
<td>2.17</td>
<td>42776624</td>
<td>0</td>
<td>42776624</td>
<td>0.69</td>
<td>1.48</td>
</tr>
<tr>
<td>c) Central Govt</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>d) State Govt(s)</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>e) Venture Capital Funds</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>f) Insurance Companies</td>
<td>566266802</td>
<td>0</td>
<td>566266802</td>
<td>9.12</td>
<td>752057789</td>
<td>0</td>
<td>752057789</td>
<td>12.20</td>
<td>-3.08</td>
</tr>
<tr>
<td>g) FIIs/FPI</td>
<td>339977332</td>
<td>0</td>
<td>339977332</td>
<td>5.50</td>
<td>439711680</td>
<td>0</td>
<td>439711680</td>
<td>7.14</td>
<td>-1.64</td>
</tr>
<tr>
<td>h) Foreign Venture Capital Funds</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>i) Others/Foreign National</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

Sub-total (B)(1):- | 1138583433 | 0 | 1138583433 | 18.36 | 1607405797 | 0 | 1607405797 | 26.08 | -7.72

(2) Non-Institutions

Bodies Corporate:

<p>| | | | | | | | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>i) Indian</td>
<td>54645381</td>
<td>0</td>
<td>54645381</td>
<td>0.87</td>
<td>23055890</td>
<td>0</td>
<td>23055890</td>
<td>0.37</td>
<td>0.50</td>
</tr>
<tr>
<td>ii) Overseas</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0.00</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>b) Individuals:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>---</td>
<td>---</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>i) Individual shareholders holding nominal share capital upto Rs. 2 lakh</td>
<td>106032924</td>
<td>8515</td>
<td>106041439</td>
<td>1.70</td>
<td>110587553</td>
<td>4812</td>
<td>110592365</td>
<td>1.79</td>
<td>-0.09</td>
</tr>
<tr>
<td>ii) Individual shareholders holding nominal share capital in excess of Rs 2 lakh</td>
<td>10511726</td>
<td>0</td>
<td>10511726</td>
<td>0.17</td>
<td>7223870</td>
<td>0</td>
<td>7223870</td>
<td>0.12</td>
<td>0.05</td>
</tr>
<tr>
<td>c) Others (specify):</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Non Resident Indians</td>
<td>8158091</td>
<td>0</td>
<td>8158091</td>
<td>0.13</td>
<td>5790883</td>
<td>0</td>
<td>5790883</td>
<td>0.09</td>
<td>0.04</td>
</tr>
<tr>
<td>Overseas Corporate Bodies</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>NBFC registered with RBI</td>
<td>30025</td>
<td>0</td>
<td>30025</td>
<td>0.00</td>
<td>23544</td>
<td>0</td>
<td>23544</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>Clearing Members</td>
<td>2572104</td>
<td>0</td>
<td>2572104</td>
<td>0.04</td>
<td>13217810</td>
<td>0</td>
<td>13217810</td>
<td>0.22</td>
<td>-0.18</td>
</tr>
<tr>
<td>Trusts</td>
<td>11195262</td>
<td>0</td>
<td>11195262</td>
<td>0.18</td>
<td>16467802</td>
<td>0</td>
<td>16467802</td>
<td>0.27</td>
<td>-0.09</td>
</tr>
<tr>
<td>Foreign Bodies – D R</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Others</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>5933581</td>
<td>4</td>
<td>5933585</td>
<td>0.10</td>
<td>-0.10</td>
<td></td>
</tr>
<tr>
<td>Sub-total (B)(2):-</td>
<td>193145513</td>
<td>8515</td>
<td>193154028</td>
<td>3.09</td>
<td>182300933</td>
<td>4816</td>
<td>182305749</td>
<td>2.96</td>
<td>0.13</td>
</tr>
<tr>
<td>Total Public Shareholding (B)=(B)(1)+ (B)(2)</td>
<td>1331728946</td>
<td>8515</td>
<td>1331737461</td>
<td>21.45</td>
<td>1789706730</td>
<td>4816</td>
<td>1789711546</td>
<td>29.04</td>
<td>-7.59</td>
</tr>
<tr>
<td>C. Shares held by Custodian for GDRs, AGRs</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Grand Total (A+B+C)</td>
<td>6207400662</td>
<td>8515</td>
<td>6207409177</td>
<td>100</td>
<td>6162723511</td>
<td>4816</td>
<td>6162728327</td>
<td>100</td>
<td>0</td>
</tr>
</tbody>
</table>
### ii.) Shareholding of Promoter:

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Shareholder's Name</th>
<th>No. of Shares</th>
<th>% of total Shares of the company</th>
<th>% of Shares Pledged / encumbered to total shares</th>
<th>No. of Shares</th>
<th>% of total Shares of the company</th>
<th>% of Shares Pledged / encumbered to total shares</th>
<th>% change in shareholding during the year</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>President of India through Ministry of Coal</td>
<td>4875671716</td>
<td>78.55</td>
<td>0.00</td>
<td>4373016781</td>
<td>70.96</td>
<td>0</td>
<td>7.59</td>
</tr>
</tbody>
</table>

### iii.) Change in Promoters’ Shareholding (please specify, if there is no change):

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Particulars</th>
<th>Shareholding at the beginning of the year [as on 01-04-2018]</th>
<th>Cumulative Shareholding during the year [2018-19]</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>No. of shares</td>
<td>% of total shares of the company</td>
</tr>
<tr>
<td>1.</td>
<td>At the beginning of the year</td>
<td>4875671716</td>
<td>78.55</td>
</tr>
</tbody>
</table>
| 2.     | Date wise Increase/Decrease in Promoters Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc.): | 1. 1,39,91,488 Divestment of Shares on 29.06.18 by way of Further fund offer of sale in ‘Bharat 22 Exchange Traded Fund ‘Â’. To ICICI Prudential AMC.  
2. 19,80,03,931 Divestment of Shares on 31.10.18 and 01.11.18 by way of Offer of Sale through Stock Exchange mechanism  
3. 13,73,11,943 Divestment on 04.12.18 by way of sale of shares in CPSE ETF to Reliance Nippon, AMC  
4. 6,81,840 Divestment of Shares on 06.12.18 by ways of Offer of Sale to employees of the Company and subsidiaries  
5. 2,37,79,267 Divestment of Shares on 21.02.19 by way of further fund offer of sale in Bharat 22 Exchange Traded Fund | 4875671716 | 78.55                          | 4875671716    | 78.55                          |                                              |                                      |
6. 4,42,93,572 Buyback of Shares of the Company on 22.03.19
7. 8,45,92,894 Divestment on 25.03.19 by way of sale of shares in CPSE ETF

At the end of the year

<table>
<thead>
<tr>
<th>No.</th>
<th>Shareholding at the beginning of the year (as on 01-04-2018)</th>
<th>Shareholding at the end of Year (as on 31-03-2019)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>No. of shares</td>
<td>% of total shares of the company</td>
</tr>
<tr>
<td>1.</td>
<td>LIFE INSURANCE CORPORATION OF INDIA</td>
<td>556948456</td>
</tr>
<tr>
<td>2.</td>
<td>LIFE INSURANCE CORPORATION OF INDIA P &amp; GS FUND</td>
<td>75694235</td>
</tr>
<tr>
<td>3.</td>
<td>THE INCOME FUND OF AMERICA</td>
<td>38000000</td>
</tr>
<tr>
<td>4.</td>
<td>CPSE ETF</td>
<td>29224428</td>
</tr>
<tr>
<td>5.</td>
<td>ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED</td>
<td>21535658</td>
</tr>
<tr>
<td>6.</td>
<td>VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERIES OF VANGUARD</td>
<td>21180306</td>
</tr>
<tr>
<td>7.</td>
<td>GOVERNMENT OF SINGAPORE</td>
<td>19333195</td>
</tr>
<tr>
<td>S. No.</td>
<td>Shareholding of each Directors and each Key Managerial Personnel</td>
<td>Shareholding at the beginning of the year [as on 01-04-2018]</td>
</tr>
<tr>
<td>--------</td>
<td>---------------------------------------------------------------</td>
<td>----------------------------------------------------------</td>
</tr>
<tr>
<td></td>
<td></td>
<td>No. of shares</td>
</tr>
<tr>
<td>1.</td>
<td>Shri Anil Kumar Jha, Chairman-cum-Managing Director (Appointed as CMD w.e.f 18.05.18)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>At the beginning of the year</td>
<td>Nil</td>
</tr>
<tr>
<td></td>
<td>Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):</td>
<td></td>
</tr>
<tr>
<td></td>
<td>At the end of the year</td>
<td>Nil</td>
</tr>
<tr>
<td>2.</td>
<td>Shri R.K.Sinha, Official Part-time Director</td>
<td></td>
</tr>
<tr>
<td></td>
<td>At the beginning of the year</td>
<td>Nil</td>
</tr>
<tr>
<td></td>
<td>Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):</td>
<td></td>
</tr>
<tr>
<td></td>
<td>At the end of the year</td>
<td>Nil</td>
</tr>
<tr>
<td></td>
<td>Ms. Reena Sinha Puri, Official Part-Time Director (Appointed as director w.e.f. 09-06-17)</td>
<td></td>
</tr>
<tr>
<td>---</td>
<td>---</td>
<td>---</td>
</tr>
<tr>
<td></td>
<td>At the beginning of the year</td>
<td>Nil</td>
</tr>
<tr>
<td></td>
<td>Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.):</td>
<td></td>
</tr>
<tr>
<td></td>
<td>At the end of the year</td>
<td>Nil</td>
</tr>
<tr>
<td></td>
<td>Shri C.K. Dey Director (Finance) (Ceased to be director w.e.f 01.10.18)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>At the beginning of the year</td>
<td>Nil</td>
</tr>
<tr>
<td></td>
<td>Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.):</td>
<td></td>
</tr>
<tr>
<td></td>
<td>At the end of the year</td>
<td>Nil</td>
</tr>
<tr>
<td></td>
<td>Ms. Loretta Mary Vas Independent Director (Ceased to be director w.e.f 16.11.18 and re-appointment on 17.11.18)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>At the beginning of the year</td>
<td>Nil</td>
</tr>
<tr>
<td></td>
<td>Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.):</td>
<td></td>
</tr>
<tr>
<td></td>
<td>At the end of the year</td>
<td>Nil</td>
</tr>
<tr>
<td></td>
<td>Dr. Satish Balram Agnihotri Independent Director (Ceased to be director w.e.f 16.11.18 and re-appointment on 17.11.18)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>At the beginning of the year</td>
<td>Nil</td>
</tr>
<tr>
<td></td>
<td>Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.):</td>
<td></td>
</tr>
<tr>
<td></td>
<td>At the end of the year</td>
<td>Nil</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>---</td>
<td>---</td>
<td>---</td>
</tr>
<tr>
<td>(e.g. allotment / transfer / bonus / sweat equity etc.):</td>
<td></td>
<td></td>
</tr>
<tr>
<td>At the end of the year</td>
<td>Nil</td>
<td>Nil</td>
</tr>
</tbody>
</table>
| 7. Dr. D.C. Panigrahi  
Independent Director  
(Ceased to be director w.e.f 16.11.18 and re-appointment on 17.11.18) |   |   |   |   |
| At the beginning of the year | Nil | Nil | Nil | Nil |
| Date wise Increase / Decrease in Promoters Shareholding  
during the year specifying the reasons for increase / decrease  
(e.g. allotment / transfer / bonus / sweat equity etc.): |   |   |   | - |
| At the end of the year | Nil | Nil | Nil | Nil |
| 8. Prof. Khanindra Pathak  
Independent Director  
(Ceased to be director w.e.f 16.11.18 and re-appointment on 17.11.18) |   |   |   |   |
| At the beginning of the year | Nil | Nil | Nil | Nil |
| Date wise Increase / Decrease in Promoters Shareholding  
during the year specifying the reasons for increase / decrease  
(e.g. allotment / transfer / bonus / sweat equity etc.): |   |   |   | - |
| At the end of the year | Nil | Nil | Nil | Nil |
| 9. Shri Vinod Jain  
Independent Director  
(Ceased to be director w.e.f 16.11.18 and re-appointment on 17.11.18) |   |   |   |   |
| At the beginning of the year | Nil | Nil | Nil | Nil |
| Date wise Increase / Decrease in Promoters Shareholding  
during the year specifying the reasons for increase / decrease  
(e.g. allotment / transfer / bonus / sweat equity etc.): |   |   |   | - |
| At the end of the year | Nil | Nil | Nil | Nil |
| 10 Shri S.N. Prasad  
Director Marketing |   |   |   |   |
<p>| At the beginning of the year | Nil | Nil | Nil | Nil |</p>
<table>
<thead>
<tr>
<th></th>
<th>Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):</th>
</tr>
</thead>
<tbody>
<tr>
<td>11.</td>
<td><strong>Shri Vinod Kumar Thakral, Independent Director</strong></td>
</tr>
<tr>
<td>At the beginning of the year</td>
<td>Nil</td>
</tr>
<tr>
<td>At the end of the year</td>
<td>Nil</td>
</tr>
</tbody>
</table>

| 12. | **Shri B.L.Gajipara Independent Director**                                                                                                                                                          |
| At the beginning of the year | Nil | Nil | Nil | Nil |
| At the end of the year | Nil | Nil | Nil | Nil |

| 13. | **Shri Binay Dayal, Director (Technical)**                                                                                                                                                          |
| At the beginning of the year | 300 | 300 | 300 | 300 |
| At the end of the year | 300 | 300 | 300 | 300 |

<p>| 14. | <strong>Shri R.P.Srivastava, Director (P&amp;IR)</strong>                                                                                                                                                             |
| At the beginning of the year | Nil | Nil | Nil | Nil |
| At the end of the year | Nil | Nil | Nil | Nil |</p>
<table>
<thead>
<tr>
<th></th>
<th>Shri S. Sarkar,</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>CFO</td>
</tr>
<tr>
<td>15.</td>
<td>(Appointed on 01.10.2018)</td>
</tr>
</tbody>
</table>
|   | At the beginning of the year | Nil  
|   | Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.): |  
|   | At the end of the year | Nil  
|   | Shri M. Viswanathan,  |
|   | Company Secretary |  
| 16.| At the beginning of the year | 400 0.00  
|   | Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.): | -  
|   | At the end of the year | 400 0.00  


V) INDEBTEDNESS: [Indebtedness of the Company including interest outstanding/accrued but not due for payment]:

<table>
<thead>
<tr>
<th>PARTICULARS</th>
<th>Secured Loans excluding deposits</th>
<th>Unsecured Loans</th>
<th>Deposits</th>
<th>Total Indebtedness</th>
</tr>
</thead>
<tbody>
<tr>
<td>Indebtedness at the beginning of the financial year:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>i) Principal Amount</td>
<td>NIL</td>
<td>NIL</td>
<td>NIL</td>
<td>NIL</td>
</tr>
<tr>
<td>ii) Interest due but not paid</td>
<td>NIL</td>
<td>NIL</td>
<td>NIL</td>
<td>NIL</td>
</tr>
<tr>
<td>iii) Interest accrued but not due</td>
<td>NIL</td>
<td>NIL</td>
<td>NIL</td>
<td>NIL</td>
</tr>
<tr>
<td><strong>Total (i+ii+iii)</strong></td>
<td>NIL</td>
<td>NIL</td>
<td>NIL</td>
<td>NIL</td>
</tr>
<tr>
<td>Change in Indebtedness during the financial year</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>* Addition</td>
<td>NIL</td>
<td>NIL</td>
<td>NIL</td>
<td>NIL</td>
</tr>
<tr>
<td>* Reduction</td>
<td>NIL</td>
<td>NIL</td>
<td>NIL</td>
<td>NIL</td>
</tr>
<tr>
<td>Net Change</td>
<td>NIL</td>
<td>NIL</td>
<td>NIL</td>
<td>NIL</td>
</tr>
<tr>
<td>Indebtedness at the end of the financial year:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>i) Principal Amount</td>
<td>NIL</td>
<td>NIL</td>
<td>NIL</td>
<td>NIL</td>
</tr>
<tr>
<td>ii) Interest due but not paid</td>
<td>NIL</td>
<td>NIL</td>
<td>NIL</td>
<td>NIL</td>
</tr>
<tr>
<td>iii) Interest accrued but not due</td>
<td>NIL</td>
<td>NIL</td>
<td>NIL</td>
<td>NIL</td>
</tr>
<tr>
<td><strong>Total (i+ii+iii)</strong></td>
<td>NIL</td>
<td>NIL</td>
<td>NIL</td>
<td>NIL</td>
</tr>
</tbody>
</table>
VI). REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL:

A. Remuneration to Managing Director, Whole-Time Directors and/or Manager:

<table>
<thead>
<tr>
<th>S. N</th>
<th>Particulars of Remuneration</th>
<th>Name of MD/WTD/ Manager</th>
<th>Total Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Shri A.K. Jha</td>
<td>Shri C. K. Dey</td>
</tr>
<tr>
<td>1.</td>
<td>Gross salary</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961</td>
<td>3939530</td>
<td>3401091</td>
</tr>
<tr>
<td></td>
<td>(b) Value of perquisites u/s 17(2) Income-tax Act, 1961</td>
<td>1390512</td>
<td>928226</td>
</tr>
<tr>
<td></td>
<td>(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>2.</td>
<td>Stock Option</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>3.</td>
<td>Sweat Equity</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>4.</td>
<td>Commission</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td></td>
<td>- as % of profit</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td></td>
<td>- others, specify</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>5.</td>
<td>Others, please specify</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td></td>
<td>Total (A)</td>
<td>5330042</td>
<td>4329317</td>
</tr>
</tbody>
</table>
### B. Remuneration to Other Directors:

<table>
<thead>
<tr>
<th>S N.</th>
<th>Particulars of Remuneration</th>
<th>Name of Directors</th>
<th>Total Amount (Rs.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td><strong>Independent Directors:</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Ms. Loretta Mary Vas</td>
<td>Dr. Satish Balram Agnihotri</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Dr. D.C. Panigrahi</td>
<td>Prof. Khanindra Pathak</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Shri Vinod Jain</td>
<td>Shri V.K. Thakral</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Shri B.L. Gajipara</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Fee for attending board committee meetings</td>
<td>1190000 1730000 1760000 1350000 1830000 1700000 990000</td>
<td>105,50,000</td>
</tr>
<tr>
<td></td>
<td>Commission</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>Others, please specify</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td><strong>Total (1)</strong></td>
<td>1190000 1730000 1760000 1350000 1830000 1700000 990000</td>
<td>105,50,000</td>
</tr>
<tr>
<td>2.</td>
<td><strong>Other Non-Executive Directors:</strong></td>
<td>Shri R.K. Sinha</td>
<td>Ms. R.S. Puri</td>
</tr>
<tr>
<td></td>
<td>Fee for attending board committee meetings</td>
<td>0 0 0 0 0 0 0</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>Commission</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>Others, please specify</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td><strong>Total (2)</strong></td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td><strong>Total (B)=(1+2)</strong></td>
<td>1190000 1730000 1760000 1350000 1830000 1700000 990000</td>
<td>105,50,000</td>
<td></td>
</tr>
</tbody>
</table>
### C. Remuneration to Key Managerial Personnel Other than MD/Manager/WTD:

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Particulars of Remuneration</th>
<th>Key Managerial Personnel</th>
<th>Total Amount (Rs.)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>(Shri C. K. Dey), CFO till 30.09.18</td>
<td>(Shri S. Sarkar), CFO from 01.10.18</td>
</tr>
<tr>
<td>1.</td>
<td>Gross salary</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961</td>
<td>3401091</td>
<td>2888649</td>
</tr>
<tr>
<td></td>
<td>(b) Value of perquisites u/s 17(2) Income-tax Act, 1961</td>
<td>928226</td>
<td>362770</td>
</tr>
<tr>
<td></td>
<td>(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>2.</td>
<td>Stock Option</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3.</td>
<td>Sweat Equity</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.</td>
<td>Commission</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>- as % of profit</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5.</td>
<td>Others, please specify</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>4329317</td>
</tr>
</tbody>
</table>
### VII. PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES:

<table>
<thead>
<tr>
<th>Type</th>
<th>Section of the Companies Act</th>
<th>Brief Description</th>
<th>Details of Penalty / Punishment / Compounding fees imposed</th>
<th>Authority [RD / NCLT / COURT]</th>
<th>Appeal made, if any (give Details)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>A. COMPANY:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Penalty</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Punishment</td>
<td></td>
<td></td>
<td>None</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Compounding</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>B. DIRECTORS:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Penalty</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Punishment</td>
<td></td>
<td></td>
<td>None</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Compounding</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>C. OTHER OFFICERS IN DEFAULT:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Penalty</td>
<td></td>
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